

MECHANISM OF HIGH  $T_c$  SUPERCONDUCTIVITY IN Ba-La-Cu-O\*

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It is proposed that the high  $T_c$  superconductivity in Ba-La-Cu-O system comes from the phonon cloud mediated Fröhlich polaron pair. The  $T_c$  should be lower than the transition temperature of small polaron into large polaron. Estimated value of  $T_c$  is also given based on the mechanism proposed by the authors.

Bednorz and Müller have found that the highest superconductivity onset temperature in Ba-La-Cu-O system was in 30K range from resistivity experiment<sup>1</sup>. It was also observed in the measurement of magnetic susceptibility<sup>2</sup>. The high  $T_c$  in Ba-La-Cu-O is realized in the  $K_2NiF_4$  type structure from the X-ray analysis<sup>3</sup>. Even much more high  $T_c$  was observed in Ba-La-Cu-O<sup>4,5</sup>.

Some mechanisms which possibly concern the Ba-La-Cu-O superconductivity were proposed. It includes the bipolarons (small) superconductivity<sup>6</sup> and LO polar modes superconductivity in  $SrTiO_3$  (ref.7).

Our mechanism of high  $T_c$  in Ba-La-Cu-O is phonon cloud mediated Fröhlich (large) polaron pair superconductivity. At first we indicate some instructions coming from experiments. Then some derivation and numerical estimates are given. At last we make some discussions.

There is clearly an exponential increase part before the onset of superconductivity in the curves of temperature dependence of resistivity in some samples of Ba-La-Cu-O<sup>1,5</sup>. The exponential increase looks like the behavior of small polaron. It will be shown in the following that the small polaron can translate into Fröhlich polaron, when the temperature goes down. Considering the small polaron which does not benefit the superconductivity for the localization and there is no exponential increase for another samples, we guess that the carrier of superconductivity is Fröhlich polaron. The reasons of enhancement of phonon mediated interaction between Fröhlich polarons are:(1) the Ba-La-Cu-O system exhibits a number of oxygen-deficient phases with mixed valent copper constituents, i.e. with itinerant electronic states between the non-J.T.Cu<sup>3+</sup> and J.T.Cu<sup>2+</sup>, thus was expected to have considerable electron-phonon coupling;<sup>1</sup> (2) Fröhlich polaron motion produces phonon cloud, the phonon number of which can be larger than one, so the phonon cloud me-

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diated superconductivity will have higher  $T_c$  than the one phonon mediated superconductivity.

The Hamiltonian of small polarons is<sup>8</sup>

$$H = J \sum_{j\delta} C_{j+\delta}^+ C_j + \sum_{\vec{q}} \omega_{\vec{q}} a_{\vec{q}}^+ a_{\vec{q}} + \sum_{j\vec{q}} C_j^+ C_j e^{i\vec{q}\cdot\vec{R}_j} M_{\vec{q}} (a_{\vec{q}} + a_{-\vec{q}}^+) \quad (1)$$

where  $C_j$  is the destruction operator for an electron on site  $R_j$ , and  $a_{\vec{q}}$  is the phonon destruction operator. The Hamiltonian is solved in the position space without resorting to collective coordinates. By the following canonical transformation the last two terms of the Hamiltonian (1) can be diagonalized.  $M_{\vec{q}}$  is the electron-phonon matrix element in Wannier representation.

$$S = - \sum_{j\vec{q}} n_j e^{i\vec{q}\cdot\vec{R}_j} (a_{\vec{q}} + a_{-\vec{q}}^+) \quad (2)$$

$$\bar{H} = e^S H e^{-S} = J \sum_{j\delta} C_{j+\delta}^+ C_j X_{j+\delta}^+ X_j + \sum_{\vec{q}} \omega_{\vec{q}} a_{\vec{q}}^+ a_{\vec{q}} - \sum_j n_j \Delta$$

$$\Delta = \sum_{\vec{q}} \frac{M_{\vec{q}}^2}{\omega_{\vec{q}}}$$

$$X_j = \exp \left[ \sum_{\vec{q}} e^{i\vec{q}\cdot\vec{R}_j} \frac{M_{\vec{q}}}{\omega_{\vec{q}}} (a_{\vec{q}} + a_{-\vec{q}}^+) \right]$$

The effective band energy is

$$\bar{\epsilon}_{\vec{q}} = J \sum_{\delta} e^{i\vec{q}\cdot\vec{\delta}} e^{-S_T} \quad (3)$$

$$e^{-S_T} = \langle i | X_{j+\delta}^+ X_j | i \rangle = \exp \left[ - \sum_{\vec{q}} \left( \frac{M_{\vec{q}}}{\omega_{\vec{q}}} \right)^2 (1 - \cos(\vec{q}\cdot\vec{\delta})) \left( \frac{2}{e^{\beta\omega_{\vec{q}}} - 1} + 1 \right) \right]$$

So, when temperature decreases, the effective band energy also increases, i.e. the Fröhlich polaron occurs at lower temperature than the small polaron. Holstein<sup>8</sup> estimated the transition temperature between Fröhlich polaron and small polaron to occur around 40% of Debye temperature for some materials. For Ba-La-Cu-O system we can not calculate the transition temperature for lack of data. But we can say from the above exact derivation that our guess on the Fröhlich polaron superconductivity does not contradict the theory.

The Fröhlich polaron causes the lattice deformation which have discrete amounts, i.e. phonons. The average number of phonons in polaron, i.e. phonon cloud, can be calculated as follows. From the first order perturbation the wave function of the electron is

$$\Psi_{\vec{p}}(\vec{r}) = |\vec{p}\rangle + \sum_{\vec{p}'} \frac{|\vec{p}'\rangle \langle \vec{p}' | V | \vec{p} \rangle}{\epsilon_{\vec{p}} - \epsilon_{\vec{p}'} + \omega_0}$$

$$= \frac{1}{v_{\frac{1}{2}}} [e^{i\vec{p}\cdot\vec{r}} |0\rangle + \frac{M_0}{v_{\frac{1}{2}}} \sum_{\vec{q}} \frac{1}{q} \frac{e^{i(\vec{p}+\vec{q})\cdot\vec{r}} a_{\vec{q}}^+ |0\rangle}{\epsilon_p - \epsilon_{p+q} - \omega_0}] , \quad (4)$$

where  $|0\rangle$  is the phonon vacuum,  $\omega_0$  is the LO mode frequency. The total number of phonons in the phonon cloud is found by taking the expectation of the phonon number operator with this state

$$Nu(p) = \int d^3r \psi_{\vec{p}}^{\dagger}(\vec{r}) \sum_{\vec{k}} a_{\vec{k}}^{\dagger} a_{\vec{k}} \psi_{\vec{p}}(\vec{r}) = \frac{\alpha}{2} \left( \frac{\omega_0}{\omega_0 - \epsilon_p} \right)^{\frac{1}{2}} , \quad (5)$$

where  $\alpha = (e^2/\hbar) (m/2\hbar\omega_0)^{0.5} (1/\epsilon_{\infty} - 1/\epsilon_0)$ . The estimated value of  $\alpha$  for strong coupling theory, i.e. small polaron, is  $\alpha \geq 5$  (ref.9). We assume the value of  $\alpha$  does not change when the small polaron translates into Fröhlich polaron.

Assuming the average electron energy  $\epsilon_p$  is  $\omega_0/2$ , then  $Nu(p) \geq 3.5$ . When the Fröhlich polaron exchanges phonon cloud, the indirect attractive interaction,  $v$ , will be 3.5 times, at least, stronger than the general one phonon indirect attractive interaction. From the formular  $T_c = 1.14 \omega_0 \exp(-1/N(E_F)v)$ , we can see that the '3.5 time' has a big effect. For example, if a sample's  $T_c$  is 0.019K,  $\omega_0 = 500K$ , then we get  $N(E_F)v = 0.097$ . But, if  $N(E_F)v = 3.5 \times 0.097 = 0.34$ , then  $T_c = 30K$ ! If we consider also the J.T. enhancement of electron-phonon coupling, then we have no difficulty in understanding the high  $T_c$  superconductivity in Ba-La-Cu-O system.

In principle our mechanism can be used also for acoustic phonon polaron. The key quantity of our mechanism is the number in phonon cloud which is proportional to  $\alpha$ .

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